



## Communications & Power Industries LLC Applicant and Worker Privacy Notice

**Effective Date: September 15, 2023**

### SCOPE

This Privacy Notice applies to applicants and prospective employees (collectively, “**Applicants**”) and employees, contractors, contingent and other personnel (collectively, “**Workers**”) whose personal information Communications & Power Industries LLC may collect and process in the course of its Human Resources Operations, from submission of an application, throughout the course of an individual’s tenure with the Company, and following such employment or services (collectively, the “**Human Resources Operations**”).

The terms “**CPI**,” “**we**,” “**us**,” and “**our**” include Communications & Power Industries LLC and its affiliates and subsidiaries. This Privacy Notice explains the kinds of information we may collect, how we intend to use and share that information, and how you may be able to opt-out of a use or correct or change such information.

**An Important Note:** This Privacy Notice only applies to data that we collect and process when interacting with you in your capacity as an Applicant or Worker. Unless otherwise indicated on a specific website page or form, this Privacy Notice does not apply to any of the personal information that we process through your use of our public-facing websites, applications, or services, which are subject to our user Privacy Policy governing those properties.

### PERSONAL INFORMATION WE COLLECT

CPI collects personal information from Applicants and Workers as described below.

**Personal Information We Collect from You Directly.** We may collect the following personal information that you provide to us:

- **Profile/Demographic Information.** Name; address; phone number; email address; date of birth; passport number; driver’s license number; Social Security number or other government-issued identification number; employment history; education; professional qualifications; salary information; financial information related to credit checks; bank details for payroll, expense reimbursement, or other purposes; information that may be recorded on a resume/CV or application form; language abilities; contact information of third parties such as family members in case of an emergency and beneficiaries under any insurance policy. We may also collect sensitive personal information such as details of health and disability, including medical information, health insurance information, mental health, medical leave, and maternity leave, including information collected for purposes of pre-employment drug testing; information about national origin or immigration status; and optional demographic information such as race or veteran status, which helps us achieve our diversity goals.
- **Communications with Us.** We may collect personal information such as personal contact information when an Applicant or Worker communicates with us, for example to inquire about employee benefits.
- **Posting on Intra-Company Sites.** CPI may offer intra-company accessible sites, pages, messaging channels, blogs, or forums (“Intra-Company Sites”). CPI and other individuals who use the Intra-Company Sites may collect the information you submit or make available through these

sites. If you choose to submit content to any public area of the Intra-Company Sites, such content will be considered “public” and will not be subject to the privacy protections set forth herein.

### **Personal Information We Collect Automatically.**

- **Use of CPI Information Technology Systems and Networks.** CPI may collect certain information automatically through the course of your employment or services, such as your Internet protocol (IP) address, inferred location based on your IP address or activities, device identifiers associated with your computer or device, mobile carrier and related information, activity logs, and other information about activities you engage in on CPI property, equipment, accounts, systems and networks. CPI may monitor and review Workers’ uses of CPI equipment, accounts, information technology systems and networks, including its phone networks, computer networks, including those used to access the Internet, videoconferencing systems and other company-provided electronic communications tools. CPI may access and review electronic files, messages, and emails sent or stored on its information technology systems, including accounts, computers and devices provided to Workers.

*Please note that you have no expectation of privacy while using CPI information technology systems, networks, and electronic communication tools, including when accessing CPI information technology systems, networks, or electronic communication tools from your personal device. Please also note that this Privacy Notice does not establish, and should not be construed to mean, that all Workers will have access to, or will participate in, the systems, networks, data, programs and other business activities described in this Privacy Notice.*

- **CCTV Footage.** CPI may monitor its premises using CCTV cameras.
- **Surveys.** From time to time, we may ask you to participate in surveys. If you decide to participate, you may be asked to provide certain information which may include personal information. All information collected from your participation in our surveys is provided by you voluntarily.
- **Contests.** Occasionally, CPI may run contests. We ask those who participate to provide information relevant to the contest, which may include personal information.

**Information from Other Sources.** We may collect or receive information about you from other sources, including through third-party services and organizations to supplement information provided by you. For example, where permitted by law, we may conduct drug screenings, and background and credit checks on you prior to your employment with CPI.

**Miscellaneous Information.** We may receive or collect other miscellaneous information (for example, information you choose to disclose during the interview process, whether in oral or written form, opinions generated during the interview process, and information provided to us during performance reviews).

### **HOW CPI USES YOUR INFORMATION**

We process personal information for a variety of business purposes including:

- To assess your suitability, aptitude, skills, qualifications, and interests for employment with or to provide services to CPI;
- To communicate with you about the application process and/or your application;
- To assist you with obtaining an immigration visa or work permit (where required and requested by you);

- To take steps at your request prior to entering into a relationship with you (for example, after we have sent you an offer, to process certain personal information to enter into and commence employment);
- Workflow management, including assigning, managing and administering projects;
- Human Resources Operations administration and communication;
- Payroll and the provision of benefits;
- Compensation, including bonuses and long-term incentive administration, stock plan administration, compensation analysis, including monitoring overtime and compliance with labor laws, and company recognition programs;
- To pursue our legitimate interests (for example, fraud prevention, network and information security, disclosure to affiliated organizations for administrative tasks, Worker monitoring for safety or management, whistleblowing schemes, enforcement of legal claims, and research purposes);
- Job grading activities;
- Performance and Worker development management;
- Organizational development and succession planning;
- Benefits and personnel administration;
- Absence management;
- Helpdesk and IT support services;
- Internal and/or external or governmental compliance investigations;
- Internal or external audits;
- Where it is necessary for the establishment, exercise or defense of legal claims;
- Diversity and inclusion initiatives;
- Restructuring and relocation;
- Emergency contacts and services;
- Worker safety;
- To comply with our legal obligations;
- Processing of Worker expenses and travel charges;
- Acquisitions, divestitures, and integrations; and
- As you otherwise agree or consent.

## HOW WE DISCLOSE YOUR INFORMATION

We may share your information as described in this Privacy Notice (e.g., with our third-party service providers; to comply with legal obligations; to protect and defend our rights and property) or with your permission.

**We Use Vendors and Service Providers.** We may share any information we receive with vendors and service providers. The types of service providers to whom we entrust personal information include service providers for: (i) maintaining our Human Resources Operations, including administering benefits, such as insurance, 401(k), and other benefits that we may offer; and (ii) the provision of IT and related services

**Affiliates and Subsidiaries.** CPI may share personal information with our affiliates and subsidiaries for our and our affiliates' and subsidiaries' internal business purposes.

**Displaying to Other Individuals.** When you post content to the Intra-Company Site(s), other individuals may be able to see some information about you, such as your name and/or picture in addition to the content you post. While we expect all Workers to follow CPI's Employee Handbook, company policies and Code of Legal and Ethical Conduct, we are not responsible for practices of the individuals who view and use your information.

**Disclosures to Protect Us or Others.** We may access, preserve, and disclose your personal information if we believe doing so is required or appropriate to: (i) comply with law enforcement or national security requests and legal processes, such as court orders or subpoenas; (ii) protect your, our, or others' rights, property, or safety; (iii) enforce our policies or contracts; (iv) collect amounts owed to us; (v) assist with an investigation or prosecution of suspected or actual illegal activity, including cybersecurity breaches and other such investigations; or (vi) comply with reporting requirements to relevant government agencies.

**Merger, Sale, or Other Asset Transfers.** If we are involved in a merger, acquisition, financing due diligence, reorganization, bankruptcy, receivership, sale of company assets, or transition of service to another entity, your information may be transferred as part of such a transaction as permitted by law and/or contract.

## INTERNATIONAL DATA TRANSFERS

All information collected via or by CPI may be transferred, processed, and stored anywhere in the world, including, but not limited to, the United States and Canada, in order to carry out our Human Resources Operations. We endeavor to safeguard your information consistent with the requirements of applicable laws.

## YOUR CHOICES

In some instances, you may have the ability to opt out of certain uses and disclosures of your personal information.

For more information on the choices you may have and how to exercise them, please contact us as set forth below.

## INDIVIDUAL RIGHTS IN PERSONAL INFORMATION

In accordance with applicable law, you may have the right to: (i) request confirmation of whether we are processing your personal information; (ii) obtain access to or a copy of your personal information; (iii) receive an electronic copy of personal information that you have provided to us, or ask us to send that information to another company (the "right of data portability"); (iv) object to or restrict our uses of your personal information; (v) seek correction of inaccurate, untrue or incomplete personal information; (vi) withdraw your consent; and (vii) request erasure of personal information held about you by CPI, subject to

certain exceptions prescribed by law. If you would like to exercise any of these rights, please contact us as set forth below.

We will process such requests in accordance with applicable laws. To protect your privacy, CPI will take steps to verify your identity before fulfilling your request.

## **DATA RETENTION**

CPI retains the personal information we receive as described in this Privacy Notice for as long as necessary to fulfill the purpose(s) for which it was collected, carry out our Human Resources Operations, resolve disputes, establish legal defenses, conduct audits, pursue legitimate business purposes, enforce our agreements, and comply with applicable laws.

## **SECURITY OF YOUR INFORMATION**

We take steps to ensure that your information is treated securely and in accordance with this Privacy Notice. Unfortunately, we cannot ensure or warrant the security of any information you provide to us. To the fullest extent permitted by applicable law, we do not accept liability for unauthorized disclosure.

## **THIRD PARTY WEBSITES/APPLICATIONS**

Various resources and sites at CPI may contain links to third party websites/applications and other websites/applications may reference or link to CPI. These third-party services are not controlled by us. We encourage Applicants and Workers to read the privacy policies of each website and application with which they interact. We do not endorse, screen or approve, and are not responsible for the privacy practices or content of such other websites or applications. Visiting these other websites or applications is at your own risk.

## **SUPERVISORY AUTHORITY**

If you are an Applicant or Worker located in the European Economic Area, Switzerland, the UK, or Brazil, you have the right to lodge a complaint with a supervisory authority if you believe our processing of your personal information violates applicable law.

## **CHANGES TO OUR PRIVACY NOTICE AND PRACTICES**

We may revise this Privacy Notice from time to time in our sole discretion. If there are any material changes to this Privacy Notice, we will notify you as required by applicable law. You understand and agree that you will be deemed to have accepted the updated Privacy Notice if you continue your employment with or services to the CPI after the new Privacy Notice takes effect. If at any point you do not agree to any portion of the Privacy Notice in effect, you must immediately contact Human Resources.

## **CONTACT US**

If you have any questions about our privacy practices or this Privacy Notice, please contact CPI by email at [privacy@cpii.com](mailto:privacy@cpii.com).

## **SUPPLEMENTAL NOTICE FOR CALIFORNIA RESIDENTS**

The following table describes the categories of personal information Company has collected and the categories of third parties to which such information has been disclosed for a business purpose in the preceding 12 months:

Category of Personal Information Collected by Company	Category of Third Parties to which Information is Disclosed
<p><b>Identifiers</b></p> <p>A real name, alias, postal address, unique personal identifier, online identifier, Internet Protocol address, email address, account name, title, nationality, date and place of birth, Social Security number or other similar identifiers.</p>	<ul style="list-style-type: none"> <li>• Analytics service providers</li> <li>• Application / service providers</li> <li>• Government entities</li> <li>• CPI affiliates</li> <li>• Insurance providers</li> <li>• Financial institutions</li> </ul>
<p>Personal information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80)</p> <p>A name, signature, Social Security number, physical characteristics or description, address, telephone number, passport number, driver's license or state identification card number, insurance policy number, education, employment, employment history, bank account number, credit card number, debit card number, or any other financial information, medical information, or health insurance information.</p>	<ul style="list-style-type: none"> <li>• Government entities</li> <li>• CPI affiliates</li> <li>• Insurance providers</li> <li>• Financial institutions</li> <li>• Applications / service providers</li> </ul>
<p><b>Sensitive personal information</b></p> <p>A social security, driver's license, state identification card, or passport number, an account log-in, financial account, debit card or credit card number in combination with any required security or access code, password, or credentials allowing access to an account, precise geolocation, racial or ethnic origin, religious or philosophical beliefs, or union membership, contents of a consumer's mail, email, and text messages unless the business is the intended recipient of the communication, or genetic information.</p>	<ul style="list-style-type: none"> <li>• Application / service providers</li> <li>• Insurance and benefit providers</li> <li>• Financial institutions</li> <li>• Government entities</li> </ul>
<p><b>Protected classification characteristics under California or federal law</b></p> <p>Age (40 years or older), race, color, ancestry, national origin, citizenship, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information).</p>	<ul style="list-style-type: none"> <li>• Government entities</li> <li>• CPI affiliates</li> <li>• Application / service providers</li> <li>• Insurance providers</li> <li>• Financial institutions</li> </ul>
<p><b>Geolocation data</b></p> <p>Physical location or movements (of Company-owned vehicles, tools or other devices)</p>	<ul style="list-style-type: none"> <li>• CPI affiliates</li> <li>• Insurance providers</li> <li>• Application / service providers</li> </ul>
<p><b>Biometric information</b></p> <p>Biological characteristics that can be used to establish individual identity, or imagery of the iris, retina, fingerprint, face, hand, palm, vein patterns, and</p>	<ul style="list-style-type: none"> <li>• Application / service providers</li> </ul>

voice recordings, from which an identifier template such as a faceprint, a minutiae template, or a voiceprint, can be extracted.	
Professional or employment-related information Current or past job history or performance evaluations.	<ul style="list-style-type: none"> <li>• CPI affiliates</li> <li>• Application / service providers</li> </ul>

The categories of sources from which we collect personal information are described above in the section titled “Personal Information We Collect.”

We use the data we collect about you for the business purposes described above in the section titled “How We Use Your Information.” In addition, we may share any data we hold about you with the parties described above.

Your personal information is not (i) “sold” or (ii) “shared” (for purposes of targeted advertising) by us, nor do we have actual knowledge of any such activity involving the personal information of minors under 16 years of age.

**Data Retention.** The Data Retention section above outlines the criteria we utilize for determining how long to retain data.

**Sensitive Personal Information.** Processing of sensitive personal information is carried out exclusively for the purposes outlined above, to the extent permitted under law, including California Consumer Privacy Act Regulations Section 7027(m). This processing activity may include service providers and government entities.

## SUPPLEMENTAL NOTICE FOR CHINA RESIDENTS

This Supplemental Notice for China only applies to our processing of personal information that is subject to the applicable data protection laws of China, including the Personal Information Protection Law (“PIPL”).

**Your Privacy Rights.** In addition to the applicable rights listed above, you have the following additional data subject rights: the right to request an explanation on how your personal information is handled, the right to file a lawsuit, and the right to allow your next of kin to exercise any of your rights unless other arrangements have been made before your death. For purposes of the PIPL, CPI’s local privacy contact is your data handler, and data subject requests may be directed to the contact information provided above or to [patrick.zhou@cpji.com](mailto:patrick.zhou@cpji.com).

**International Transfers.** When transferring personal information which originates in China internationally, we will comply with the applicable requirements governing the transfer and processing of such data. Providing CPI information for employment, through the website, or otherwise constitutes your consent for our international transfer of your data.

**List of Entrusted Persons.** If permitted under applicable law, data subjects may request a copy of our service providers (entrusted persons).